

Wendy Berman

Associée Head, Securities Litigation

Toronto

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t. 416-601-8266

Admission au barreau

Ontario 1992
British Columbia 2015

Faculté de droit

University of Toronto

Domaines de pratique

Litige et résolution des différends
Actions collectives
Responsabilité professionnelle
Litige en valeurs mobilières
Droit public
Défense et enquêtes de crimes économiques
Marchés des capitaux
Gouvernance d'entreprise

Secteurs d'activité

Droit bancaire et services financiers
Groupe mondial Métaux et Mines
Technologie financière

Enjeux stratégiques

ESG et durabilité
Situations critiques et activisme des actionnaires

Insightful and creative, [Wendy] understands our company and our needs and strategically plots how best to advocate on our behalf.

— CLIENT TESTIMONY, CHAMBERS GLOBAL

A highly sought-after strategist, Wendy is known for her keen insights and ability to get to the crux of an issue – a reputation that has earned her the respect of clients and colleagues alike.

Wendy's is recognized by many notable legal authorities, including *Chambers Canada*, the *Canadian Legal Lexpert Directory*, and *Best Lawyers in Canada*. She is the recipient of the Lexpert Zenith Award honouring leading women lawyers, the Canadian recipient of the *International Law Office* Client Choice Award for exceptional legal work and client service in litigation, and is recognized by *Benchmark Litigation* as a "Litigation Star" in the Securities category, and one of the "Top 25 Canadian Women in Litigation."

Widely acknowledged as a top choice for securities litigation and described as a 'force to be reckoned with.'

— CHAMBERS CANADA (SECURITIES LITIGATION)

A member of the Bars of Ontario and British Columbia, Wendy is also a Fellow of the Litigation Counsel of America, a member of the Canadian Bar Association, The Advocates' Society and the Women's Law Association of Ontario. Wendy is a former member of Board of Directors of the Advocates' Society, the Securities Proceedings Advisory Committee and the Executive Committee of the Women in White Collar Defense Association.

She is co-author of the Canada chapter of *The International Investigations Review (5th Edition)*, published by Law Business Research Ltd.

Prior to joining McCarthy Tétrault Wendy represented:

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- A major Canadian financial institution in a $1 billion class action involving allegations of price fixing of financial market instruments.
- A US mining company in litigation and regulatory aspects of its hostile takeover bid for a Canadian exploration company.
- A founding shareholder in successfully contesting a proposed multi-billion dollar acquisition of an oil and gas development company.
- A senior officer of a resource company in a securities regulatory investigation and proceedings involving allegations of misleading and inaccurate financial and operational disclosure and allegations of foreign corrupt practices and related class action.
- A cannabis company in a securities class action alleging misleading and inaccurate financial and operational disclosure.
- A resource company in litigation and regulatory aspects of a contested proxy contest for control of the board of directors.
- A resource company in a securities class action and regulatory investigation alleging failure to make timely disclosure related to its mining operations and production guidance.
- A financial services company in a securities class action and regulatory investigation (with parallel US class action) alleging various financial and other disclosure violations.
- A gold mining company in a securities class action (with parallel US class action) alleging misleading and inaccurate financial and operation disclosure.
- A senior registrant in a securities regulatory investigation and proceedings involving allegations of insider trading.

Prix et distinctions

Chambers Canada

Leading Lawyer: White-Collar Crime & Government Investigations

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Chambers Canada

Securities Litigation (Ontario), Class Action (Defence), formerly Recognized Practitioner – White Collar Crime

The Lexpert/American Lawyer Guide to the Leading 500 Lawyers in Canada

Leading Lawyer: Litigation – Corporate Commercial; Litigation – Securities

Lexpert Guide to the Leading US/Canada Cross-Border Lawyers in Canada

Benchmark Litigation

Litigation Star: Securities

Benchmark Litigation

Top 100 Women in Canada

The Canadian Legal Lexpert Directory

Litigation – Securities, Litigation – Corporate Commercial, Class Actions

Legal 500 Canada

Dispute Resolution

Lexpert, Zenith Award - 2013

Leading Women Lawyers

Lexpert Special Edition: Technology and Health Sciences

Leading Lawyer

Lexpert Special Edition: Mining

Leading Lawyer

Lexpert Special Edition: Litigation

Leading Lawyer

International Law Office (ILO) - 2010 and 2011

Client Choice Award

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Best Lawyers in Canada

Leading Lawyer - Class Action Litigation

Best Lawyers in Canada

Leading Lawyer - Director and Officer Liability Practice

Best Lawyers in Canada

Leading Lawyer - Securities Law

Martindale Hubbell

Distinguished™ Rating

Mandats récents

- **Rio Tinto acquiert Turquoise Hill Resources pour 3,3 G$ US**
  16 décembre 2022
- **Shopify modifie sa structure de gouvernance pour soutenir son expansion continue à long terme**
  9 juin 2022
- **Wyloo Metals complète l'acquisition de Noront Resources, une société cotée à la Bourse de croissance TSX**
  7 avril 2022

Publications récentes

- **Une référence mondiale en matière de divulgation de l'information liée à la durabilité et aux changements climatiques a vu le jour : l'ISSB publie ses normes définitives**
  7 juillet 2023
- **Perspectives du capital d'investissement privé pour 2023 : dix points essentiels à retenir**

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24 février 2023

- **Sur la cible : Perspectives du capital d'investissement privé pour 2023**
  10 janvier 2023
- **La divulgation obligatoire des facteurs ESG est essentielle pour le passage vers une économie carboneutre : Wendy Berman de McCarthy Tétrault parle au magazine Canadian Lawyer**
  24 août 2022

Événements

- **Série – Comment s'y retrouver quand il s'agit d'ESG et de durabilité?**
  6 décembre 2023
- **Webinaire sur les perspectives pour le capital d'investissement privé**
  14 février 2023