



# René Sorell

## Conseil

Toronto

[rsorell@mccarthy.ca](mailto:rsorell@mccarthy.ca)

t. +1 416-601-7947

## Admission au barreau

Ontario 1978

## Faculté de droit

Osgoode Hall Law School

## MT Divisions et solutions client

MT Réseau

## Domaines de pratique

Fusions et acquisitions  
Litige en valeurs mobilières  
Gouvernance d'entreprise  
Réglementation des valeurs mobilières  
Marchés des capitaux

## Secteurs d'activité

Réglementation des institutions  
financières  
Droit bancaire et services financiers  
Réglementation des valeurs mobilières  
et des produits financiers  
Technologie

*Disponible en Anglais seulement*

René Sorell is Counsel in MT>Align, a wholly owned division of the firm.

Previously, René was a partner in our Business Law Group in Toronto.

René practises almost exclusively in the area of securities law. He has had responsibility for projects in all aspects of securities law, including securities offerings, contested take-over bids, proxy battles and shareholder disputes, public company mergers and reorganizations, the formation of equity and fixed income marketplaces and the representation of clients in regulatory proceedings before securities regulators.

René has acted as counsel to special committees of public company boards of directors. He regularly provides advice to investment dealers and other securities businesses on trading rules, trading systems, compliance and discipline matters and new products. René has frequently presented cases before the Ontario Securities Commission on matters involving both securities and commodity futures legislation. He is a member of the senior securities law advisory committee to the Ontario Securities Commission. He acted as chair of the Policy Advisory Committee of the Ontario Securities Commission and participated in the industry committee of the Commission's Fair Dealing project.

René has been an invited speaker at numerous professional conferences on various aspects of securities law across Canada. He has taught securities regulation as an adjunct professor or special lecturer at Osgoode Hall Law School, the University of Toronto Faculty of Law, University of Windsor Faculty of Law and Dalhousie Law School and has been an invited speaker at other law schools at both the LLB and LLM levels. He is a co-author of *Private Placements in Canada* and is a co-editor of *CCH Annotated Securities Law* and a member of the editorial advisory board for *CCH Corporate Governance Report*. He is also a co-author of CCH's *Canadian Securities Regulatory Requirements Applicable to Non-Resident Broker-Dealers, Advisers and Investment Fund Managers*, a handbook of Canadian securities regulatory requirements for non-resident securities firms published in 2012. He is a Senior Fellow at Massey College in the University of Toronto.

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He received his BA from McGill University, his MA from the University of Toronto and his LLB from Osgoode Hall Law School. Between 1979 and 1980, he served as assistant to the chair of the Ontario Securities Commission.

## Prix et distinctions

### The Canadian Legal Lexpert Directory

Leading Lawyer: Corporate Finance & Securities; Corporate Mid-Market

### Best Lawyers in Canada

Leading Lawyer in the areas of Corporate Law and Securities Law

### Legal Media Group's Expert Guide

Leading Lawyer: Capital Markets and Mergers & Acquisitions

## Mandats récents

- **La coentreprise entre FPI Dream Industrial et GIC acquiert FPI Summit Industrial Income pour la somme de 5,9 G\$ CA**

17 février 2023

- **Coinsquare devient la première plateforme canadienne dédiée à la négociation de cryptomonnaies à adhérer à l'OCRCVM et à y être inscrite en tant que courtier en valeurs mobilières et membre du marché entièrement réglementés.**

12 octobre 2022

## Publications récentes

- **ACVM publie rapport sur l'application de la loi pour 2021**

13 juillet 2022

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- **Un nouvel organisme d'autoréglementation remplacera l'OCRCVM et l'ACCFM d'ici la fin de 2022**

1 décembre 2021

- **Statutory "best interest" obligations do not by themselves create fiduciary duties**

11 mars 2022

- **Regulatory Uncertainty Regarding Crypto Asset Lending in Canada**

1 juin 2022